

In the name of God

**.... Agency Corporation (Private Joint Stock) Form of  
Iranian Real Persons Characteristics (Customer/Agent)**

Date of receiving: .../.../۲۰...

This section is completed by Agency Corporation only.

- All inserted information in this form should be treated as confidential and do not be disclosed for non-authorized third parties. It is necessary to fill those parts which have been specified with “\*”. The other sections are optional to fill.
- Identity Number for Iranian real persons means their national code number. It is national identity number for Iranian legal persons. It is passport number for foreign real persons and specific number if any. It is a special number for foreign legal persons.
- The agent of legal person (para ۱) and authorized people for submission an order (para ۵) in accordance with notice ۱ of para ۱-۲-۴ of Art. ۴ of recognition instruction of customers at capital market, it is necessary to recognize them according to the mentioned rules for real persons.
- Common parts of this form should be filled by customer and/or agent/ other parts titled as “Customer’s information” and “Agent’s information” should be completed in accordance with the real role of completing person (Customer and/or agent)

**۱-Characteristics of legal person:**

\*Full Name: ..... Type of legal person: ..... ID Number:.....

\*Registration Number: ..... Place of issue: ..... Date of issue:.....

\*Nationality: .....

\*Address: Country:..... Province: ....., City:....., Street:....., Alley: ..... No.

.....

Economic Code No.: ..... Tel: ..... Fax: .....

Postal Code of legal domicile of customer: ..... Website: .....

Email: .....

\*Address of main office (if any): Country: ....., Province: ....., City: ....., Street: .....,

Alley:..... No. .... \*Postal Code No.: ..... Tel: .....

Customer Transaction Code: (If it has obtained in prior):

Name of Stock Exchange/Out of Stock Exchange market							
Transaction Code No.							

Signature and/or finger print of customer/agent

Date:

Seal of Agency Co.:.....

Customer's information	۲-Characteristics of authorized people to withdraw from customer's banking account: (Necessities of para ۴-۲-۲ of Art. ۴ for recognition of customers):								
	No.	Name	Position	Father's name	Date of Birth	ID Number	Postal code	Tel	Sample Signature
	۱								
	۲								
	۳								
	۴								
۵									
Customer's information	<del>۲-Characteristics of the board of directors, managing director, members of the board, auditor, inspectors and/or similar positions: (Necessities of para ۴-۲-۲ of Art. ۴ for recognition of customers):</del>								
	No.	*Name	*Position	*ID number	*Mobile No.	Address	Postal code		
	۱								
	۲								
	۳								
	۴								
	۵								
	۶								
	۷								
	۸								
	۹								
	۱۰								

Signature and/or finger print of customer/agent

Date:

Seal of Agency Co.:.....

Customer's information

ϵ-Please insert the characteristics of any shareholders/partners with more than 10% of shares (Capital) of real person (if any): (Necessities of para ϵ-2-2 of Art. ϵ for recognition of customers)

No.	*Name	*Position (Partner of shareholder)	*ID Number	Address	Postal code	• %	
						Voting right	Shareholder
1							
2							
3							
4							
5							
6							
7							

Δ-Please insert the characteristics of any persons who is authorized to put any orders on behalf of the customer:

No.	Name	ID number	Position	Authorized period		Sample signature
				Start	Finish	
1						
2						
3						
4						

Signature and/or finger print of customer/agent

Date:

Seal of Agency Co.:.....

\*۶-Characteristics of authorized people for binding notes:

No.	Name	Position	ID Number	Address	Postal code	Sample Signature
۱						
۲						
۳						
۴						
۵						

۷-Ideals of investment and scope of investing by customer:

۷-۱- Following is the table of transaction values by customer through recent year (digits in million Rls.):

Stock Exchange Organization & Ultra Stock Exchange organization (Million Rls.)	Product Stock Exchange Organization (Million Rls.)	Foreign Stock Exchange Organizations (۱۰۰۰ USD)

۷-۲-Name of Agency(s) through which you have made any transactions:

.....

\*۷-۳-How much is your estimation of your transactions in capital market through a year?

Lower than ۲۵۰ million Rls.                       Between ۲۵۰ to ۱۰۰۰ million Rls

Between ۱۰۰۰ to ۵۰۰۰ million Rls.                       Between ۵۰۰۰ to ۱۰۰۰۰ million Rls.

More than ۱۰۰۰۰ million Rls.

۷-۴-Explain the investing source and customer's ideals for any transactions at Iranian Capital Market.

\*۷-۵-Is there any classification of customer by any resources: Yes                       No

If yes, please present any information and documents in this regard.

Name of classifying organization: ..... Date of classification: ..... Obtained level:

.....

Signature and/or finger print of customer/agent

Date:

Seal of Agency Co.:.....

Customer's information	<p>*A-Characteristics of banking account:</p> <p>Characteristics of banking account which has been opened in the name of customer are as follows:</p> <p>Banking account:</p> <p>Name of the bank: ..... Branch: ..... Branch code No.: ..... City: .....</p> <p>Type of account: ..... A/C No.: ..... Name of the holder: .....</p> <p>SHEBA Code No.: (Please insert in the following table):</p> <table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <tr> <td style="width: 20px;">IR</td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> <td style="width: 20px;"></td> </tr> </table> <p>Notice I: This account should be opened with an Iranian bank. Agency is unable to accept any common accounts.</p> <p>Notice II: Customer's credits will be transferred only to the specified account number, except he/she has notified a new banking account in written.</p>	IR																			
IR																					
Customer's information	<p>A- Position &amp; conditions of the agent:</p> <p>A-1- Personal characteristics of your employer who has appointed you as an agent:</p> <p>Real person: Full name: ..... ID Number: .....</p> <p>Legal person: Company's name: ..... ID Number: .....</p> <p>A-2-Type of document for proving the claim: Official Power of Attorney <input type="checkbox"/> Liquidation manager <input type="checkbox"/></p> <p>Introduction letter of legal person <input type="checkbox"/> Other <input type="checkbox"/></p> <p>A-3-Expiration date of representation: .../.../...</p>																				
<p>A- Customer/Agent undertakes to:</p> <p>A-1-Rather than respecting all rules and regulations of money laundering, he/she is obliged to provide any information about fighting with Money Laundering requested by agency as determined for performing of duties.</p> <p>A-2- Not to permit any third parties to use basic received services (transaction codes). In case of any usages, he/she may notify the agent as soon as possible.</p> <p>A-3- Notify the concerned authorities any changes in postal code and relevant information about his/her address. The last address of applicant is the same presented one by relevant authorities even if the applicant has not modify the address by person.</p> <p>A-4- In case of any changes in submitted information, he/she is obliged to notify the agent as soon as possible.</p> <p>A-5-Managers &amp; signatories of customer/agent undertakes to inform the agency about any changes in submitted information as soon as possible rather than to submit latest information and documents to customer/agent as well.</p>																					
<p><b>Confirmation of Agency Corporation's officer:</b></p> <p>As the admission officer of Agency Corporation, I ..... received this form on .../.../... and confirm the content is in compliance with submitted notes and documents by customer/agent.</p> <p style="text-align: center;">Admission Officer: Signature</p>																					

Signature and/or finger print of customer/agent

Date:

Seal of Agency Co.:.....

It is necessary for the agency to receive all notes and documents as follows and comply the registered information of this form with the same in documents and then supply a copy conformed to the original for further archiving as well.

- Approved establishment & registration notes of legal persons (such as Establishment Note in Official Gazette)
- Scope of authorities of signatories and managers (Latest notice of changes as inserted in Official gazette)
- Company's Articles of Association and /or similar notes of legal person
- Rather than the above-mentioned documents, it is necessary to submit copy of all notes & documents

Signature and/or finger print of customer/agent

Date:

Seal of Agency Co.:...